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TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT Docket No. (Under 37 CFR 1.97(b) or 1.97(c)) QN1069.US In Re Application Of: McGlaughlin et al Application No. Filing Date Examiner Customer No. Group Art Unit Confirmation No. 10/719,077 11/21/03 Not Assigned 22145 2857 2263 Method and System for Monitoring Events in Storage Area Networks OCT 18 2004 Address to: Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 37 CFR 1.97(b) The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114. 37 CFR 1.97(c) 2. \square The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of: ☐ the statement specified in 37 CFR 1.97(e); OR \Box the fee set forth in 37 CFR 1.17(p).

TRANSMITTA	TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT (Under 37 CFR 1.97(b) or 1.97(c))							
In Re Application	n: McGlaughlin et	al						
Application No. Filing Date Examiner Customer No. Group Art Unit Confirmat								
10/719,077	11/21/03	Not Assigne	ed	22145	2857	2263		
Title: Method and System for Monitoring Events in Storage Area Networks								
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WARNING included o	☐ Payment by credit card. Form PTO-2038 is attached. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. Certificate of Transmission by Facsimile* Certificate of Mailing by First Class Mail							
account is bein	document and authoriza g facsimile transmitted emark Office (Fax. No.		I hereby of with the U as first "Commiss 22313-145	certify that this co- inited States Posta class mail in ioner for Patents, 60" [37 CFR 1.8(a)]	rrespondence is be al Service with suffi an envelope a P.O. Box 1450, Ale	ing deposited cient postage ddressed to exandria, VA		
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	ate may only be used unt. J. OM old Signature		Dated:	10/13/				
James G. O'Neill Registration No: 22: Klein, O'Neill & Sin 2 Park Plaza, Suite Irvine, CA 92614 Tel: 949 955 1920 Fax: 949 955 1921 CC:	gh, LLP							



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Betker et al.

Title: Method and System for Monitoring Events in Storage Area Networks

Serial No. 10/719077

Filed: 11/21/03

Docket No. QN1069.US

INFORMATION DISCLOSURE STATEMENT

Mail Stop Amendment Commissioner for Patents P. O. Box 1450 Alexandria, VA 22313-1450

Sir:

In compliance with the duty imposed by 37 C.F.R. § 1.56, and in accordance with 37 C.F.R. §§ 1.97 and 1.98, enclosed materials are brought to the attention of the Examiner for consideration in connection with the above identified patent application. Applicants respectfully request that this Information Disclosure Statement be entered and the documents listed on the attached form 1449 be considered by the Examiner and made of record.

Pursuant to 37 C.F.R. § 1.97(b), it is believed that no fee or statement is required with the Information Disclosure Statement. However, if an Office Action on merits has been mailed, the Commissioner is hereby authorized to charge the required fees to Deposit Account No. 11-1159 in order to have this Information Disclosure Statement Considered.

If the Examiner has any questions concerning this IDS, the examiner is requested to contact the undersigned. If it is determined that this IDS has been filed under the wrong rule, the PTO is requested to consider this IDS under the proper rule and charge the appropriate fee to Deposit Account No. 11-1159.

If necessary, the Commissioner is hereby authorized in this, concurrent, and future replies, to charge payment or credit any overpayment to Deposit Account No. 11-1159 for any additional fees required under 37 C.F.R. § 1.16 or under § 1.17; particularly, extension of time fees.

Respectfully submitted,

Klein, O'Neill & Singh, LLP

10/13/2004

James G. O'Neill

2 Park Plaza, Suite 510

Irvine, CA 92614 Tel: 949 955 1920

Fax: 949 955 1921

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OPE	INFORMATION DISCLOSURE	CITATION	ON1069.US APPLICANT(S)		10/	719077	-	
		sary)	McGlaughlin et al	<u> </u>	GROUP ART UN	IIT		
OCT 18	7001		11/21/03			2857		
EXAMINER	- SEE	U.S	S. PATENT DOCUMENTS					
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DAT		
	6,697,359	02/24/2004	George	370	357			
	6,597,691	07/22/2003	Anderson et al	370	360			
	6,449,274	09/10/2002	Holden et al	370	392			
	6,424,658	07/23/2002	Mathur H.	370	429			
	6,411,599	06/25/2002	Blanc, Alain, et l	370	219			
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EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DAT		
	US-2001/0038628	11/08/2001	Ofek, et al.	370	392			
	US-2003/0016683	01/23/2003	George et al	H04L	12/28			
	US-2003/0179748	09/25/2003	George et al	orge et al 370 389				
	US-2004/0141521	07/22/2004	George	370	463			
		FORE	EIGN PATENT DOCUMENTS		•		·	
	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANS YES	LATION NO	
	EP0649098	09/19/1994	ЕР	G06F	15/16	1	!	
	EP-0856969	01/30/1998	EP	H04L	12/00	1		
	WO-98/36537	08/20/1998	PCT	H04L	12/44	1		
	OTHER DOCUME	NTS (Includir	ng Author, Title, Date, Pertinent	Pages, Etc.				
Ī	CLARK, TOM, "Zonin	g for Fibre Chan	nel Fabrics", Vixel Corporation Pap	er - XP00218	85194., (Aug. 19	999), pp 1-6	5	
	Malavalli, Kumar, et al.	, "Distributed C	omputing with fibre channel fabric", Conf. 37, XP000340745, (Feb. 24, 199	Proc of the	Computer Soc.	Int'l Conf	Los	
	Alamitos, IEEE Comp S	Soc. Press., Vol. (Conf. 37, XP000340745, (Feb. 24, 199	2), pp 269-2	74			
EXAMINER	२		DATE CONSIDERED					
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			U.S	S. PATENT	DOCUMENT	rs				
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		6,330,236	12/11/2001	Ofek, et a	ıl		370	369		
		6,324,181	11/27/2001	Wong et	al		370	403		
		6,308,220	10/23/2001	Mathur F	I.	30 1 3	709	238		
		6,160,813	12/12/2000	Banks et	al		370	422		
		6,128,292	10/03/2000	Kim et al			370	356		
			U.S. PATEN	IT APPLIC	ATION PUBL	ICATIONS				
*EXAMINER		DOCUMENT NUMBER	DATE		NAME		CLASS	SUBCLASS	FILING DAT	
		US2004/0028038	02/12/2004	Anderson	et al		370			
		US2004/0013125	01/22/2004	Betker et	al					
		US2004/0013092	01/22/2004	Betker et	al					1
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	•	OTHER DOCUME	NTS (Includin	g Author	, Title, Date	e, Pertinent F	Pages, Etc	:.)		. <u>L</u>
		Martin, Charles R., "Fa pp 65-71	bric Interconnec	tion of fib	re channel st	andard nodes"	, Proceedin	gs of the SPIE,	Septembe	r 8, 1992),
		Yoshida, Hu, "LUN Sec (1999), pp 1-7	urity Considerat	ions for St	orage Area l	Networks," Hit	achi Data S	Systems Paper -	XP 002185	5193
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Form PTO-A820 (also form PTO-1449)

(Use several sheets if necessary)

ATTY DOCKET NO.	APPLICATION NO.
QN1069.US	10/719077
McGlaughlin et al	
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		U.	.S. PATENT	DOCUMENTS					
*EXAMINER INITIAL	DOCUMENT NUM	BER DATE		NAME		CLASS	SUBCLASS	FILING DAT	
	6,081,512	06/27/2000	Muller et	al		370	256		
	6,047,323	04/01/2000	04/01/2000 Krause, Michae			709	227		
	6,021,128	02/01/2000	Hosoya et	Hosoya et al		370	380		
	6,014,383	01/11/2000	McCarty,	James F.	-	370	453		
	5,999,528	12/07/1999	Chow Her	nery et al		370	365		
		U.S. PATE	NT APPLICA	ATION PUBLIC	ATIONS				
*EXAMINER INITIAL	DOCUMENT NUM	IBER DATE		NAME		CLASS	SUBCLASS	FILING DAT	-
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,	OTHER	DOCUMENTS (Individ	ina Author	Title Deta	Portinant S	Pages Ft			
				, Title, Date,					
	Claudio DeSa	inti, "Virtual Fabrics Swit	tch Support	"; VF Switch	Support, T1	1/04-395v2	. September 200	4, pp 1-15.	
	Pelissier et al,	"Inter-Fabric Routing" ,	dated July	30, 2004, Inter	Fabric Rou	ting (04-52	0v0); pp 1-31	· · · · · · · · · · · · · · · · · · ·	
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Form PTO-A820 (also form PTO-1449)

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ATTY DOCKET NO.	APPLICATION NO.			
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			U.S	S. PATENT	DOCUMENTS				
*EXAMINER		DOCUMENT NUMBER	DATE	7	NAME	CLASS	SUBCLASS	FILING DAT	
	5,98	7,028	11/16/1999	Yang et al	•	370	380		
	5,818	8,842	10/06/1998	Burwell e	t al	370	397		_
	5,748	8,612	05/05/1998	Stoevhase	et al.	370	230		
	5,68	7,172	11/11/1997	Cloonan e	t al	370	395		
	5,610	0,745	03/11/1997	Bennett, D)wayne	359	139		
			U.S. PATEN	IT APPLICA	ATION PUBLICATIONS				
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1		OTHER DOCUME	HIS (IIICIUUII	iy Autilor,	Title, Date, Pertinent	rayes, Elc.	· <i>)</i>		
		DeSanti et al, "Virtual l	Fabrics''; Virtua	l Fabrics, 7	Г11/03-352v0, Мау 2003;	pp 1-4			
		Martin et al , "Virtual	Channel Archite	cture" , Pr	esentation by Brocade to	T11/03-369V	0 dated June 2,	, 2003	
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		5,598	3,541	01/28/1997	Malladi, S	5. R.	395	286		
		5,367	7,520	11/22/1994	Cordell, R	Robert R.	370	60		
		5,144	,622	09/01/1992	Takiyasu,	T. et al	370	85.13		
		4,821	,034	04/11/1989	Anderson et al 340 825		825			
		4,725	5,835	02/16/1988	Schreiner	et al	340	825.83		
,				U.S. PATEN	T APPLICA	ATION PUBLICATIONS				
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Form PTO-A820 (also form PTO-1449)

considered. Include copy of this form with next communication to applicant.

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Docket Number (Optional)	Application Number					
QN1069.US	10/719,077					
Applicant(s) McGlaughlin et al						
Filing Date	Group Art Unit					
11/01/03	3055					

				Filing I	Date 11/21/03		Group Art Unit	857	
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INITIAL	REF	DOCUMENT NUMBER	DATE		NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATI	
		4,569,043	02/04/1986	Simmons et a	<u> </u>	370	63		
		4,546,468	10/08/1985	Christmas et	al	370	54		
		4,425,640	01/10/1984	Philip et al	·	370	58		
		4,162,375	07/24/1979	Schilichte		179	15		
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

McGlaughlin et al

Title: Method and System for Monitoring Events in Storage Area Networks

Serial No. 10/719077 Filed: 11/21/03

Docket No. QN1069.US

Mail Stop Amendment Commissioner for Patents P. O. Box 1450 Alexandria, VA 22313-1450

Dear Sirs,

Applicants would like to bring to the Examiner's attention the following related application(s) in the above-identified application:

Serial/Patent No.	Filing date	Attorney Docket	<u>Title</u>
10/266,360	10/07/2002	QN1010.US	Method And System For Reducing Congestion In Computer Networks
10/241,153	09/11/2002	QN1016.US	Zone Management In A Multi-Module Fibre Channel Switch
10/263,858	10/2003/2002	QN1017.US	Method And System For Using Distributed Name Servers In Multi- Module Fibre Channel Switching
10/212,425	08/05/2002	QN1020.US	Method And System For Flexible Routing In A Fibre Channel System
10/302,149	11/22/2002	QN1027.US	Method And System For Controlling Packet Flow In Networks
10/894579	07/20/2004	QN1044.US	Method and System for Managing Traffic in Fibre Channel Switches
10/894546	07/20/2004	QN1050.US	Method and System for Routing and Filtering Network Data Packets in Fibre Channel Systems
10/894,827	07/20/2004	QN1058.US	Method and System for Selecting Virtual Lanes in Fibre Channel Switches
10/894597	07/20/2004	QN1059.US	Programmable Pseudo Virtual Lanes for Fibre Channel Systems
10/894595	07/20/2004	QN1061.US	Method and System for Reducing Latency and Congestion in Fibre Channel Switches
10/664,548	09/19/2003	QN1062.US	Buffer to Buffer Credit Recovery for In-

			Line Fibre Channel Credit Extension
10/895175	07/20/2004	QN1063.US	Devices Method and System for Detecting
			Congestion and Over Subscription in a
404004400	0.7.12.0.12.0.1		Fibre Channel Network
10/894492	07/20/2004	QN1065.US	LUN Based Hard Zoning in Fibre
10/894587	07/20/2004	QN1066A.US	Channel Switches Multi-Speed Cut Through Operation in
10/094307	07/20/2004	QN1000A.03	Multi Speed Cut Through Operation in Fibre Channel Switches
10/894726	07/20/2004	QN1066B.US	Method and System for Improving
		(**************************************	Bandwidth & Reducing Idles in Fibre
			Channel Switches
10/894629	07/20/2004	QN1066C.US	Method and System for Routing Fibre
10/00 1101	0=1001000	03.14.0.6479.1479	Channel Systems
10/894491	07/20/2004	QN1066D.US	Method and System for Keeping a Fibre
			Channel Arbitrated Loop Open During
10/894536	07/20/2004	QN1066E.US	Frame Gaps Method And System For Congestion
10/074550	0772072004	QITTOOOL.OD	Control Based On Optimum Bandwidth
			Allocation In A Fibre Channel Switch
10/894627	07/20/2004	QN1068.US	Method and System for Programmable
			Data Dependent Network Routing
10/894,689	07/20/2004	QN1070.US	Method and System for Power Control
10/709 527	2/11/2004	0)11071 110	of Fibre Channel Switches
10/798,527	3/11/2004	QN1071.US	Method and System for Preventing
			Deadlock in Fibre Channel Fabrics using Frame Priorities
10/798468	3/11/2004	QN1072.US	Method and System for Reducing
		(0.111.212.0	Deadlock in Fibre Channel Fabrics using
			Virtual Lanes
10/894586	07/20/2004	QN1073.US	Method and System for Buffer to Buffer
			Credit recovery in Fibre Channel
			Systems Using Virtual and/or Pseudo
10/889,635	07/12/2004	QE1075.US	Virtual Lane Method And System For Inexpensive
10/869,033	07/12/2004	QE1075.05	And Non-Disruptive Data Capture In
			Networks
10/889,337	07/12/2004	QE1076.US	Method And System For Minimizing
		-	Disruption In Common-Access
			Networks
10/889259	07/12/2004	QE1078.US	Method And Apparatus For Detecting
			And Removing Orphaned Primitives In A Fibre Channel Network
10/889267	07/12/2004	QE1079.US	Method And System For Fibre Channel
10/00/20/	01/12/2007	QL1077.05	Arbitrated Loop Acceleration
10/889551	07/12/2004	QE1080.US	Method And Apparatus For Testing
			Loop Pathway Integrity In A Fibre
			Channel Arbitrated Loop
10/889256	07/12/2004	QE1081.US	Method And Apparatus For Accelerating

			Receive-Modify-Send Frames In A Fibre Channel Network
10/889255	07/12/2004	QE1082.US	Method and Apparatus for Test Pattern Generation
10/889,588	07/12/2004	QE1083.US	Method And Apparatus For Improving Buffer Utilization In Communication Networks
10/894597	07/20/2004	QN1086.US	Method And System For Using Extended Fabric Features With Fibre Channel Switch Elements
10/894978	07/20/2004	QN1092.US	Method And System for Programmable Data Dependent
10/894917	07/20/2004	QN1096.US	Method and System for Configuring Fibre Channel Ports
10/894529	07/20/2004	QN1100.US	Integrated Fibre Channel Fabric Controller
10/894732	07/20/2004	QN1101.US	Method And System For Congestion Control In A Fibre Channel Switch
	10/01/2004	QN1102.US	Method and System for Using Boot Servers in Fibre Channel Network Routing
	10/01/2004	QN1116.US	Method and System for Transferring Data directly between storage devices in a Storage Area Networks
	10/01/2004	QN1129.US	High Speed Fibre Channel Switch Elements
	10/01/2004	QN1131.US	Method and System for LUN Remapping in Fibre Channel Networks
	10/08/2004	QN1132.US	Fibre Channel Transparent Switch For Mixed Switch Fabrics
	10/01/2004	QN1136.US	Method and System for Using an In-Line Credit Extender with a Host Bus Adapter

Respectfully Submitted,

Dated: 10/13/2004

James G. O'Neill Attorney for the Applicants, Klein, O'Neill & Singh, LLP 2 Park Plaza, Suite 510

anes & O'neill

Irvine, CA 92614 Tel: 949 955 1920

Fax: 949 955 1921

Certificate	under 37 CFF	R 1.8: The undersig	gned hereby certifies tha	t this correspond	lence is being deposited	with
the United	l States Posta	l Service with suf	ficient postage as prior	rity mail, in an	envelope addressed to	: MS
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of October	, 2004.			Λ	·	
Name:	RICHA	DHINBA	Signature:	Richo	Dhirdes	